

ATTORNEY/DOCKET No: BHT/3092/149

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, pose office address and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

DISK MODULE OF SOLID STATE

the specification of which (check one)

X is attached hereto.

and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37*, *Code of Federal Regulations, Sections 1.56*. I hereby claim foreign priority benefits under *Title 35*, *United States Code, Sections 119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application(S) | | | Priority Claimed | |
|------------------------------|---------|----------------------|------------------|----|
| Number | Country | Day/Month/Year Filed | Yes | No |
| | | | | |
| | | | | |

□Additional Priority Application(s) Listed on Following Page(s)

| I Hereby Claim The Benefit Under Title 35, U.S. Code, Sections 119(E) of Any U.S. Provisional Applications Listed Below. | | |
|--|----------------------|--|
| Application Number | Day/Month/Year Filed | |
| | | |
| | | |

□Additional Priority Application(s) Listed on Following Page(s)

I hereby claim the benefit under *Title 35*, *United States Code*, *Sections 120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35*, *United States Code*, *Sections 112*, I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37*, *Code of Federal Regulations*, *Sections 1.56* which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

| Application Number | Filing Date | Status-Patented, Pending or Abandoned |
|--------------------|---------------|---------------------------------------|
| 09/236,398 | Jan. 25, 1999 | Pending |
| | | |

□Additional Priority Application(s) Listed on Following Page(s)

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

David E. Dougherty Registration No. 19,576 and Bruce H. Troxell Registration No. 26,592

I (We) authorize my (our) attorneys to accept and follow instructions from <u>XINO INTERNATIONAL INTELLECTUAL PROPERTY</u> <u>OFFICE</u> regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I (we) or my (our) assigns withdraw this authorization in writing.

SEND CORRESPONDENCE TO:

DOUGHERTY & TROXELL

TELEPHONE CALLS TO: BRUCE H. TROXELL

5205 LEESBURG PIKE, SUITE 1404 FALLS CHURCH, VA 22041

(703) 575-2711

| Full Name of First or Sole Inventor George YEN | Citizenship Taiwan | ese |
|--|---------------------|----------------------------|
| Residence Address 2F, No. 8, Lane 151, Fu-De Street, Taipei, Taiwan. | Post Office Address | □Same as Residence Address |
| Date Sept, 21, 2000 | Signature | George fen |

See following page(s) for additional joint inventors.

| Applicant or Patentee: George YEN | | Attorney's |
|-----------------------------------|--|------------------------------|
| Serial or Patent No.: | | Docket No. |
| Filed or Issued: | | |
| For: DISK MODUL | E OF SOLID STATE | |
| | ATEMENT (DECLARATION) (B7 CFR 1.9(f) & 1.27(b)] - SMAL | CLAIMING SMALL ENTITY STATUS |

I hereby declare that I am:

[] the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: POWER QUOTIENT INTERNATIONAL CO., LTD.

ADDRESS OF SMALL BUSINESS CONCERN: 14F, No. 16, Jian-Ba Road, Chung Ho City, Taipei Hsien, Taiwan.

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purpose of paying reduced fees under Sections 41(a) and 41(b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purpose of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention described in the above-captioned:

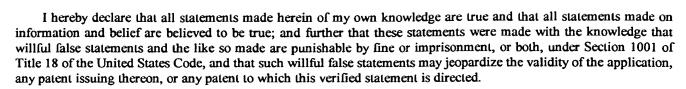
[] PATENT [X] APPLICATION

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

| FULL NAME ADDRESS | <u></u> | - · · · · · · · · · · · · · · · · · · · | • |
|----------------------|----------------|--|-----------------------------|
| | [] INDIVIDUAL | [] SMALL BUSINESS CONCERN | [] NON-PROFIT ORGANIZATION |
| FULL NAME | | | |
| ADDRESS | | | |
| | [] INDIVIDUAL | [] SMALL BUSINESS CONCERN | [] NON-PROFIT ORGANIZATION |

I acknowledge the duty to file, in this application or patent, notification of my change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate [37 CFR 1.28(b)].



| NAME OF PERSON SIGNING Mei Yueh LU | | | | |
|---|---------------------------------------|-----|----------|--|
| TITLE OF PERSON OTHER THAN OWNER President | | | | |
| ADDRESS OF PERSON SIGNING 14F, No. 16, Jian-Ba Road, Chung Ho City, Taipei Hsien, Taiwan. | | | | |
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| | · V | *** | a | |
| SIGNATURE | · · · · · · · · · · · · · · · · · · · | 7 | r) | |
| DATE | Sept. 21, 2000 | | , | |